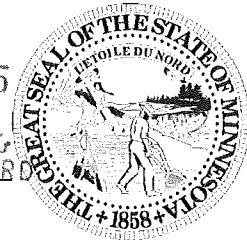


Campaign Finance & Public Disclosure Board

190 Centennial Office Building, 658 Cedar St, St Paul, MN 55155

17 JUN 22 PM 1:45
CAMPAIGN FINANCE & PUBLIC DISCLOSURE BOARD
www.cfboard.state.mn.us



Complaint for Violation of the Campaign Finance and Public Disclosure Act

All information on this form is confidential until a decision is issued by the Board.
A photocopy of the entire complaint, however, will be sent to the respondent.

Information about complaint filer

Name of complaint filer	Zachary A. Olson		
Address	6824 Russell Ave S	Email address	zackolson@me.com
City, state, and zip	Richfield, MN 55423	Telephone (Daytime)	6122197379

Identify person/entity you are complaining about

Name of person/entity being complained about	Simon Trautmann
Address	6618 Humboldt Avenue
City, state, zip	Richfield, MN 55423
Title of respondent (If applicable)	Richfield City Council Member
Board/Department/Agency/District # (If legislator)	Richfield, MN Ward 1/District 1

A handwritten signature in black ink, appearing to read "Zack Olson".

Signature of person filing complaint

6/20/17

Date

Send completed form to:

Campaign Finance & Public Disclosure Board
190 Centennial Office Building
658 Cedar Street
St. Paul, MN 55155

If you have questions:

Call 651-539-1190, 800-657-3889, or for TTY/TDD communication contact us through the Minnesota Relay Service at 800-627-3529. Board staff may also be reached by email at cf.board@state.mn.us.

This document is available in alternative formats to individuals with disabilities by calling 651-539-1180, 800-657-3889, or through the Minnesota Relay Service at 800-627-3529.

Give the statutory cite to the section of Chapter 10A, Chapter 211B, or Minnesota Rules you believe has been violated:

211A.02

You will find the complete text of Chapter 10A, Chapter 211B, and Minnesota Rules chapters 4501 - 4525 on the Board's website at www.cfboard.state.mn.us.

Nature of complaint

Explain in detail why you believe the respondent has violated the campaign finance and public disclosure laws. Attach an extra sheet of paper if necessary. Attach any documents, materials, minutes, resolutions, or other evidence to support your allegations.

- Candidate failed to file a finance report within 14 days of dispursing more than \$750, which occurred on 4/15/17 according to the attached submitted report.

- Candidate failed to file a finance report 10 days prior to special election

- Candidate filed a report on 5/24 which included a campaign donation in the amount of \$800 from an individual, Debbie Goettel, which exceeds the maximum donation limit of \$600. Candidate then filed a revised report on 5/26/17 which listed the above donation of \$800 as coming from both Debbie Goettel and her husband Torove Leiknes. Either the candidate filed a false report stating the donation as coming from an individual, or the candidate received an illegal contribution. The canceled check or method of donation should be verified.

- The City of Richfield was notified on 3 (known) occasions of possible rules violations for Simon Trautmann and the matter was ignored, potentially due to the fact that two of the three sitting council members publicly supported Mr. Trautmann; Maria Gonzalez and Edwina Garcia. The three occassions are marked as followed: (1) Email was sent to city clerk regarding the violation of campaign finance rules, (2) City Facebook Page contained several discussions and comments regarding this issue, and (3) the matter was reported in the local newspaper, Sun Current, the following week.

~~Candidate signed to Candidate of Pity Society~~ - Candidate had...
See reverse ->

Minnesota Statutes section 10A.022, subdivision 3, describes the procedures required for investigating complaints. A full description of the complaint process is available on the Board's website.

Briefly, the Board will notify you when it has received your complaint. The Board must send a copy of the complaint to the respondent. Complaints and investigations are confidential. Board members and staff cannot talk about an investigation except as required to carry out the investigation or to take action in the matter. After the Board issues a decision, the record of the investigation is public.

The law requires a complaint to go through two stages before the Board can begin an investigation: a prima facie determination and a probable cause decision. If the complaint does not pass one of the stages, it must be dismissed.

The Board has 10 business days after receiving your complaint to determine whether the complaint is sufficient to allege a prima facie violation of the campaign finance laws. If the Board determines that the complaint does allege a prima facie violation, the Board has 45 days to decide whether probable cause exists to believe a violation that warrants a formal investigation has occurred. Both you and the respondent have the right to be heard on the issue of probable cause before the Board makes this decision. The Board will notify you if the complaint moves to the probable cause stage.

If the Board determines that probable cause does not exist, the Board will dismiss the complaint. If the Board determines that probable cause exists, the Board will start an investigation. The Board will send you monthly updates regarding the status of the investigation. At the end of the investigation, the Board will offer you and the respondent the opportunity to be heard before the Board makes a final decision.

In most cases, the Board will issue findings, conclusions, and an order as its decision. For a spending or contribution limits violation, the Board can enter into a conciliation agreement with the respondent instead of issuing findings, conclusions, and an order. The Board's final decision will be sent to you and posted on the Board's website.

12/11/12

Mr. [Name] was interviewed on 12/11/12 regarding the [Name] campaign.

Mr. [Name] is a resident of [Address] and is currently employed by [Company].

Background Information

Mr. [Name] has been interviewed on several occasions regarding the [Name] campaign.

- Candidate had previous experience with Campaign Finance Reports. He was the Treasurer for Debbie Goettel for Hennepin County Commissioner campaign, which was just 6 months ago. Mr. [Name] had filed and signed (3) three Campaign Finance Reports on behalf of that campaign. He was fully aware of the rules and requirements and blatantly disregarded them for this special election. Likewise, he had support of several political members which makes it difficult to believe no advisement was offered.

Mr. [Name] was interviewed on 12/11/12 regarding the [Name] campaign.

Mr. [Name] is a resident of [Address] and is currently employed by [Company].

Mr. [Name] has been interviewed on several occasions regarding the [Name] campaign.

Mr. [Name] is a resident of [Address] and is currently employed by [Company].

Mr. [Name] has been interviewed on several occasions regarding the [Name] campaign.

17 JUN 22 PM 1:46

Page 1

CAMPAIGN FINANCE & PUBLIC DISCLOSURE BOARD

CAMPAIGN FINANCIAL REPORT

(All of the information in this report is public information)

Name of candidate, committee or corporation Simon Trautmann

Office sought or ballot question Richfield City Council District Ward 1

Type of report: Candidate report, Campaign committee report, Association or corporation report, Final report

Period of time covered by report:

from 4/5/17 to 5/23/17

CONTRIBUTIONS RECEIVED

Give the total for all contributions received during the period of time covered by this report. Contributions should be listed by type (money or in-kind) rather than contributor. See note on contribution limits on the back of this form. Use a separate sheet to itemize all contributions from a single source that exceeded \$100 during the calendar year. This itemization must include name, address, employer or occupation if self-employed, amount and date for these contributions.

CASH \$ 1400.00 TOTAL CASH-ON-HAND \$ 0.00
IN-KIND + \$ 60.00
TOTAL AMOUNT RECEIVED = \$ 1460.00

RECEIVE MAY 24 2017 CITY OF RICHFIELD

DISBURSEMENTS

Include the amount, date and purpose for all disbursements made during the period of time covered by report. Attach additional sheets if necessary.

Table with columns: Date, Purpose, Amount. Rows include Postage entries for 4/6/17, 4/8/17, 4-28-17, 5-5-17, 4-15-17 and a TOTAL of 767.02.

Total Expenditures from all 4 pages \$ 1744.05

CORPORATE PROJECT EXPENDITURES

Corporations must list any media project or corporate message project for which contribution(s) or expenditure(s) total more than \$200. Submit a separate report for each project. Attach additional sheets if necessary.

Project title or description _____

Table with columns: Date, Purpose, Name and Address of Recipient, Expenditure or Contribution Amount. Includes a TOTAL row.

I certify that this is a full and true statement. Jeff Wright Signature Date 5-24-17

Printed Name Jeff Wright Telephone 6126441312 Email (if available) _____

Address 136 E 70th St Richfield OH 44123 jdwright1962@yahoo.com

Report

Office

Name

For Office Use Only:

CAMPAIGN FINANCIAL REPORT

(All of the information in this report is public information)

Name of candidate, committee or corporation Simon Trudtman

Office sought or ballot question Richfield City Council District Ward 1

Type of report Candidate report
 Campaign committee report
 Association or corporation report
 Final report

Period of time covered by report:
from 4-5-17 to 5-23-17

CONTRIBUTIONS RECEIVED

Give the total for all contributions received during the period of time covered by this report. Contributions should be listed by type (money or in-kind) rather than contributor. See note on contribution limits on the back of this form. Use a separate sheet to itemize all contributions from a single source that exceeded \$100 during the calendar year. This itemization must include name, address, employer or occupation if self-employed, amount and date for these contributions.

CASH \$ _____ TOTAL CASH-ON-HAND \$ _____
IN-KIND + \$ _____
TOTAL AMOUNT RECEIVED = \$ _____

RECEIVED
MAY 24 2017
CITY OF RICHFIELD

DISBURSEMENTS

Include the amount, date and purpose for all disbursements made during the period of time covered by report. Attach additional sheets if necessary.

Date	Purpose	Amount
4-17-17	Patrick's French Bakery	6.07
" "	Dunn Bros	3.96
4-27-17	Secretary of State	60.00
5-2-17	Five Watt Coffee	8.50
	TOTAL	78.53

CORPORATE PROJECT EXPENDITURES

Corporations must list any media project or corporate message project for which contribution(s) or expenditure(s) total more than \$200. Submit a separate report for each project. Attach additional sheets if necessary.

Project title or description _____

Date	Purpose	Name and Address of Recipient	Expenditure or Contribution Amount
		TOTAL	

I certify that this is a full and true statement.

Jeff Wright
Signature

5-24-17
Date

Printed Name Jeff Wright Telephone 612 644 1312 Email (if available) _____
Address 136 E 70th St Richfield 55423 jdwright1962@yahoo.com

Report

Office

Name

For Office Use Only:

CAMPAIGN FINANCIAL REPORT

(All of the information in this report is public information)

Name of candidate, committee or corporation Simon Traufmann

Office sought or ballot question Richfield City Council District Ward 1

Type of report X Candidate report
Campaign committee report
Association or corporation report
Final report

Period of time covered by report:
from 4/5/17 to 5-23-17

CONTRIBUTIONS RECEIVED

Give the total for all contributions received during the period of time covered by this report. Contributions should be listed by type (money or in-kind) rather than contributor. See note on contribution limits on the back of this form. Use a separate sheet to itemize all contributions from a single source that exceeded \$100 during the calendar year. This itemization must include name, address, employer or occupation if self-employed, amount and date for these contributions.

CASH \$ _____ TOTAL CASH-ON-HAND \$ _____
IN-KIND + \$ _____
TOTAL AMOUNT RECEIVED = \$ _____

RECEIVED
MAY 24 2017
CITY OF RICHFIELD

DISBURSEMENTS

Include the amount, date and purpose for all disbursements made during the period of time covered by report. Attach additional sheets if necessary.

Date	Purpose	Amount
5-8-17	Caribou Coffee	4.59
5-12-17	Mannix Tortas	26.41
5-17-17	DeGood Biz	793.67
5-20-17	Sunrise Donuts	10.10
	TOTAL	824.77

CORPORATE PROJECT EXPENDITURES

Corporations must list any media project or corporate message project for which contribution(s) or expenditure(s) total more than \$200. Submit a separate report for each project. Attach additional sheets if necessary.

Project title or description _____

Date	Purpose	Name and Address of Recipient	Expenditure or Contribution Amount
		TOTAL	

I certify that this is a full and true statement. Jeff Wright Signature 5-24-17 Date

Printed Name Jeff Wright Telephone 612 644 1312 Email (if available) _____

Address 136 E 70th St Richfield 55423 jdwright1962@yahoo.com

Report

Office

Name

For Office Use Only:

Campaign Contributions List

Jeff Wright	136 E 70 th Street Richfield, MN 55423	\$50
Debbie Goettel	6525 James Ave So Richfield, MN 55423	\$800
Maria Regan Gonzales	7227 11 th Ave So Richfield, MN 55423	\$100
Tim Gillette	Bloomington, MN	\$200
Gary Ingram	6504 James Ave So Richfield, MN	\$50
David Butler	7072 Lyndale Ave So Richfield, MN 55423	\$200

Total Cash Contributions: \$1400

Safari Express made an In-kind food contribution totaling: \$60

Total cash and in-kind contributions \$1460

Disbursements

5/23/2017	SAMS CLUB 4787		4686
5/22/2017	SAMS CLUB 4787		20.94
5/20/2017	SQ *JAUR CAFE	Minneapolis MN	55.71
5/20/2017	SUNRISE DONUTS CORP	BLOOMINGTON MN	1.08
5/17/2017	DOGOOD BIZ INC	612-7897226 MN	10.10
5/12/2017	MANNY'S TORTAS	MINNEAPOLIS MN	793.77
5/8/2017	CARIBOU COFFEE # 1321	RICHFIELD MN	26.41
5/2/2017	Five Watt Coffee	Minneapolis MN	4.59
4/17/2017	PATRICKS FRENCH BAKERY	RICHFIELD MN	8.50
4/17/2017	DUNN BROS COFFEE-54	EDINA MN	6.07
4/15/2017	SQ *POSTAL DISPATCH	BLOOMINGTON MN	3.96
4/27/2017	SOS Voter Data		767.02
4/8/17	POSTAGE		60.00
4/6/17	POSTAGE		71.12
4/28/17	POSTAGE		21.46
5/5/17	POSTAGE		107.28
			26.82

1884.83

Total Disbursements:

\$ 1884.83

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17 JUN 22 PM 1:46

CAMPAIGN FINANCIAL REPORT

MAY 26 2017

CITY OF RICHFIELD

CAMPAIGN FINANCE PUBLIC DISCLOSURE BOARD (All of the information in this report is public information)

Name of candidate, committee or corporation Simon Trautmann

Office sought or ballot question Richfield City Council District Ward 1

Type of report: Candidate report; Campaign committee report; Association or corporation report; Final report. Period of time covered by report: from 4-3-17 to 5-25-17

CONTRIBUTIONS RECEIVED

Give the total for all contributions received during the period of time covered by this report. Contributions should be listed by type (money or in-kind) rather than contributor. See note on contribution limits on the back of this form. Use a separate sheet to itemize all contributions from a single source that exceeded \$100 during the calendar year. This itemization must include name, address, employer or occupation if self-employed, amount and date for these contributions.

CASH \$ 1435.00 TOTAL CASH-ON-HAND \$ -733.78
IN-KIND + \$ 265.17
TOTAL AMOUNT RECEIVED = \$ 1700.17

DISBURSEMENTS

Include the amount, date and purpose for all disbursements made during the period of time covered by report. Attach additional sheets if necessary.

Table with 3 columns: Date, Purpose, Amount. Row 1: Please see attached. Row 2: (blank). Row 3: (blank). Row 4: (blank). Row 5: TOTAL 2133.95

CORPORATE PROJECT EXPENDITURES

Corporations must list any media project or corporate message project for which contribution(s) or expenditure(s) total more than \$200. Submit a separate report for each project. Attach additional sheets if necessary.

Project title or description _____

Table with 4 columns: Date, Purpose, Name and Address of Recipient, Expenditure or Contribution Amount. Row 1: (blank). Row 2: (blank). Row 3: (blank). Row 4: TOTAL

I certify that this is a full and true statement. [Signature] Date 5/26/2017

Printed Name Simon Trautmann Telephone 612-205-1822 Email (if available) sttrautmann@richfieldmn.com
Address 6618 Humboldt Ave S, Richfield, MN 55423

Report Office Name For Office Use Only:

RECEIVED

MAY 26 2017

CITY OF RICHFIELD

Contributions - Simon Trautmann Richfield City Council

Date Rcvd	Contributor Name and Address	Address				\$ Value of In-Kind Donation	Total Contribution
4/3/2017	David Butler	7072 Lyndale Ave So	Richfield	MN	55423		\$100.00
4/6/2017	Maria Regan Gonzales	7227 11th So	Richfield	MN	55423		\$100.00
4/8/2017	Dan Trautmann	6618 Humboldt Ave	Richfield	MN	55423	71.12	
4/11/17	Jeff Wright	136 E 740th Street	Richfield	MN	55423		\$50.00
4/21/2017	James Mahoney	6237 Sheridan Avenue South	Richfield	MN	55423		\$35.00
4/28/2017	Dan Trautmann	6618 Humboldt Ave	Richfield	MN	55423	107.23	
4/30/17	Tim Gillette	19646 Dakota Ave	Prior Lake	MN	55372		\$200.00
5/5/2017	Dan Trautmann	6618 Humboldt Ave	Richfield	MN	55423	26.82	
5/17/2017	David Butler	7072 Lyndale Ave So	Richfield	MN	55423		\$100.00
5/21/17	Debbie Goettel & Torove Leiknes	6525 James Ave So	Richfield	MN	55423		\$800.00
5/21/2017	Gary Ingram	6504 James Ave So	Richfield	MN	55423		\$50.00
5/28/17	Safari Express	920 East Lake Street #134	Minneapolis	MN	55407	60.00	
					Total	265.17	\$1,435.00

Office of the Minnesota Secretary of State

CAMPAIGN FINANCIAL REPORT CERTIFICATION OF FILING

Instructions

Each county, municipal or school district candidate or treasurer of a committee formed to promote or defeat a ballot question shall certify to the filing officer that all reports required by Minnesota Statutes 211A.02 have been submitted to the filing officer or that the candidate or committee has not received contributions or made disbursements exceeding \$750 in the calendar year. The certification shall be submitted to the filing officer not later than seven days after the general or special election. (Minnesota Statutes 211A.05, subdivision 1)

Campaign Information

Name of candidate or committee Simon Trautmann
Office sought by candidate (if applicable) Richfield City Council
Identification of ballot question (if applicable)

Certification

Select the appropriate choice below, and sign.

- I do swear (or affirm) that all campaign financial reports required by Minnesota Statutes 211A.02 have been submitted to the filing officer.
I do swear (or affirm) that all campaign contributions or disbursements did not exceed \$750 in the calendar year.

Signature of candidate or committee treasurer [Handwritten Signature]
Date 5/26/17

From: **Elizabeth VanHoose** EVanHoose@richfieldmn.gov
Subject: **RE: Campaign Finance Reports**
Date: **May 24, 2017 at 11:45 AM**
To: **Zack Olson** zackolson@me.com

17 JUN 22 PM 1:46
CAMPAIGN FINANCE &
PUBLIC DISCLOSURE BOARD

Hi Zack,

Following up on your question from earlier. Simon Trautmann has not filed a campaign financial report with the city clerk's office. If you have a concerns I would direct you to either contact the candidate directly or if you wish to file a complaint you can view 211B.32 COMPLAINTS OF UNFAIR CAMPAIGN PRACTICES on how to do that.

Please let me know if you have any questions and I will do my best to answer them.

Thank you,

Elizabeth VanHoose
City Clerk
Tel: 612-861-9738
evanhooose@richfieldmn.gov

-----Original Message-----

From: Zack Olson [mailto:zackolson@me.com]
Sent: Wednesday, May 24, 2017 7:26 AM
To: Elizabeth VanHoose
Subject: Campaign Finance Reports

Good Morning Elizabeth,

I had two questions regarding campaign finance reports.

1. I did not file a report 10 days prior to yesterday's election, should I still submit a report even though I didn't receive contributions and only spent about \$300 of my own money?
2. Mr. Trautman did not file a report as well, what if any consequences exist since he won the election?

Thanks!

// Zack Olson

Sent from my iPhone

Government

Candidates reflect on Richfield election, victor's campaign finance questioned

Published June 1, 2017 at 9:52 am

17 JUN 22 PM 1:46

By Andrew Wig

CAMPAIGN FINANCE &
PUBLIC DISCLOSURE BOARD

The next city council member to represent Richfield's west side will be Simon Trautmann, who defeated fellow candidate Gordon Hanson by 20 votes in a special election May 23.

Trautmann, who emphasized his Richfield roots during the campaign, emerged from a field of four candidates – Hanson, Brandt Krueger and Zack Olson.

According to results posted on the city of Richfield's website, Trautmann collected 327 votes to Hanson's 307, Krueger's 64 and Olson's 63. There were two write-in votes, with the 763 total voters comprising a turnout rate of 10 percent.

Trautmann, an attorney for small businesses and nonprofits, campaigned with an emphasis on commercial development along the Penn Avenue corridor, enhanced law enforcement training and a walkable city infrastructure.

"I'm grateful to the voters of Ward 1 for the privilege of serving Richfield, a city I love, a city I'm very proud of," Trautmann said following his narrow victory.

He will take over a Ward 1 seat that has been vacant since March, when then-Ward 1 Councilmember Pat Elliott won a special election to become mayor. Elliott replaced Debbie Goettel, who vacated the mayorship mid-term after winning a seat on the Hennepin County Board of Commissioners. Trautmann's term lasts through 2020.

The Richfield High School graduate was the only of the four candidates to have never run for public office, but he carried endorsements from members of Richfield's political establishment. Those figures included Goettel, Ward 2 City Councilmember Edwina Garcia and Ward 3 Councilmember Maria Regan Gonzalez.

With their support, Trautmann campaigned in the neighborhood where he remembers going door to door selling candy bars for basketball fundraisers as a boy. Elaborating on those memories, he playfully noted he was also able to thank certain neighbors who he gave out full-size candy bars on Halloween.

Despite that bond with potential voters, "I tried real hard not to have expectations. I just worked as hard as I can and knocked on as many doors as I could," Trautmann said.

Hanson, another longtime Richfield resident, saw the campaign as a contest of connections. "I don't think there was one really unifying issue in this campaign," he said. "... So I think it was a matter of everybody working their network."

For Hanson, it was another tight race. He remembers losing to now-Mayor Pat Elliott by a similar share in a contest for the same seat in 2008.

Having finished fourth by the slimmest margin possible, Olson looked forward as he reflected on the campaign. "The next step for Richfieldians is to accept our newly elected council and exercise our thoughts to issues and concerns that we have," he wrote in an email to the Sun Current. "... I look forward to continuing to serve my neighbors and would not turn down a handshake or two."

Krueger, however, was not ready to put the special election in the rear view.

Campaign finance questions

In a Facebook post, Krueger raised concerns over Trautmann's campaign finance report, which was filed May 24, the day after the election. Krueger noted the timing violated election rules that state the report must be filed within 14 days of a campaign first raising or spending \$750. He also pointed toward incomplete information on

Trautmann's finance report that included the omission of dates of contributions.

"I'm deeply disturbed by the fact that Richfield's council member-elect, Simon Trautmann, filed his campaign finance report the day after the election," Krueger wrote.

"... I ran on a platform of communication, transparency, and accountability. I must admit, I was disappointed with the fact that those values didn't resonate more with the voters, but what's done is done and those are still my core concerns."

Trautmann called the late filing his mistake. "I take responsibility for the error. This embarrassing mistake happened because I misunderstood the criteria for first filing," he wrote in a press release.

He wrote that the finance report was compiled hastily when his campaign learned of the timing problem.

"When we learned of our untimely filing, my treasurer, who had been out of town, hastily prepared the report and submitted it as soon as we were able. I approved of his filing the report," he wrote.

Trautmann acknowledged the report had a number of errors. "I contacted the finance board, and reported all errors immediately to the proper authorities," he wrote.

An amended report includes the dates of contributions, showing \$1,700 received, including in-kind donations, and \$2,434 spent.

Hanson chose not to weigh in on the finance questions. "I kind of want to take the position of the gracious, defeated candidate," he said.

But Krueger, in his Facebook critique, preempted Trautmann's explanation that the late filing was simply a mistake.

"Upon filing for candidacy, each one of us is handed a packet that includes the campaign finance laws, summarized for convenience. They are not complicated, or filled with 'legalese,' they're actually pretty straightforward," he wrote.

"... Mr. Trautmann, a lawyer, and backed by veteran politicians and an experienced campaign treasurer, seems to have failed to follow those simple instructions. As the packet states: 'Once a candidate or committee raises or spends more than \$750, it must file the reports below until it files a Final Report.'"

Krueger also pointed out that a contribution of \$800 from Goettel exceeded the \$600 per person limit. The limit per household, though, is \$1,200, Trautmann noted. His amended finance report labels the course of the \$800 contribution as Goettel and her husband, Torove Leiknes.

The city of Richfield does not enforce campaign finance law or process complaints on the matter, according to City Manager Steve Devich.

Campaign finance complaints are instead heard by the Office of Administrative Hearings, a state agency, he explained.

Contact Andrew Wig at andrew.wig@ecm-inc.com or follow him on Twitter @RISunCurrent.

Filed Under: richfield

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