

# COMPLAINT FOR VIOLATION OF THE CAMPAIGN FINANCE AND PUBLIC DISCLOSURE ACT

All information on this form is private and confidential until a finding is issued by the Board	
Information about complaint filer	<b>6</b>
Name of complaint filer	3
Pat Shortridge	6.2
Address PARK St.	6.0
City, state-zip SF WW MN 55103 Daytime telephone no. 651 222-00	)9 <i>)</i>

Identify person/entity you are complaining about

Name of person/entity being complained about
Address 4 W Shore Dr.
City, state, zip Worthington, MN 5Ce 187
Title of respondent (if applicable) Sergte (m); Uhtc
Board/Department/Agency/District # (if legislator)
District 22

10/18/12

Signature of person filing complaint

Send completed form to:	Campaign Finance & Public Disclosure Board Suite 190, Centennial Building 658 Cedar Street St. Paul, MN 55155	

If you have questions call:

651/296-1721; 800/657-3889; or for TTY/TDD communication contact us through the Minn. Relay Service at 800/627-3529 Board staff may also be reached by e-mail at: cf.board@state.mn.us.

This document is available in alternative formats to individuals with disabilities by calling 651/296-5148; 800/657-3889; or through the Minnesota Relay Service at 800/627-3529.

Give the statute cite of the portion of Chapter 10A, or Minn. Rules you believe has been violated.

You will find the complete text of Minn. Stat. §10A and Minn. Rules Chapters 4501 - 4525 on the Board's website at www.cfboard.state.mn.us .

Nature of complaint

Explain in detail why you believe the respondent has violated Chapter 10A, the Campaign Finance and Public Disclosure Act. Attach an extra sheet of paper if necessary. Attach any documents, materials, minutes, resolutions or other evidence to support your allegations.

See attached meno and exhibits.

Minn. Stat. 10A.02, subd 11 - Violations; enforcement.

The board shall investigate any alleged violation filed in writing with the board. For an alleged violation of sections 10A.25 (expenditure limits) or 10A.27 (additional limits) the board shall either enter into a conciliation agreement or make a public finding of whether or not there is probable cause, within 60 days of the filing of the complaint. For alleged violations of all other sections, the board shall within 30 days after the filing of the complaint make a public finding of whether or not there is probable cause.

The deadline for action may be extended by a majority vote of the board. Within a reasonable time after beginning an investigation of an individual or association, the board shall notify that individual or association of the fact of the investigation. The board shall make no finding without notifying the individual or association of the nature of the allegations and affording an opportunity to answer those allegations.

Any hearing or action of the board concerning a complaint or investigation shall be confidential until the board makes a public finding concerning probable cause or enters into a conciliation agreement.

Except as provided in section 10A.28, after the board makes a public finding of probable cause the board shall report that finding to the appropriate law enforcement authorities.

### STATE OF MINNESOTA CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

### COMPLAINT FOR VIOLATION OF THE CAMPAIGN FINANCE AND PUBLIC DISCLOSURE ACT

#### Introduction

This complaint alleges a violation of Minnesota Statutes §10A.01 subdivision 18 by the Minnesota DFL Party, DFL Senate Caucus, DFL endorsed Minnesota Senate District 22 candidate Alan Oberloh, and the Committee to Elect Oberloh and/or its agents (collectively referred to as Respondents). On or about October 10, 2012, the Minnesota DFL Party disseminated campaign materials in support of the Alan Oberloh campaign. The purported independent expenditure was made with the express or implied consent, authorization, or cooperation of, or in concert with or at the request or suggestion of the DFL endorsed Senate District 22 candidate Alan Oberloh, his principal campaign committee Committee to Elect Oberloh, and/or its agents (collectively referred to as Oberloh). Respondent's have violated Chapter 10A, the Campaign Finance and Public Disclosure Act by disseminating campaign materials as independent expenditures that were, in fact, not independent of Oberloh.

#### Facts

Alan Oberloh is the DFL endorsed candidate for the Minnesota Senate in Senate District 22. On or about October 10, 2012, the Minnesota DFL Party disseminated literature by U.S. Mail to influence voters to support the Alan Oberloh campaign for Minnesota Senate District 22. See, Exhibit A. Photographs of Alan Oberloh appear on the literature. See, Id. The disclaimer identifies the literature as an independent expenditure prepared and paid for by the Minnesota DFL Party. See, Id.

#### Argument

An independent expenditure requires that the expenditure be made "without the express or implied consent, authorization, or cooperation of, and not in concert with or at the request or suggestion of, any candidate or any candidate's principal campaign committee or agent." Minnesota Statutes, §10A.01, subd. 18. The Board has emphasized that there must be a high wall of separation between the party unit and its candidates if the party unit's expenditures are to qualify as independent expenditures. See, Findings and Order in the Matter of the Complaint of Bob Murray regarding House District 54A Republican Party of Minnesota and Citizens for (Mark) Fotsch.

It is apparent that all of the photographs in which Alan Oberloh is a subject in the literature were taken with the active participation of Mr. Oberloh. See, Exhibit A. Searches for photographs of Alan Oberloh, including his official campaign website, facebook, flickr, and google images, reveal that photographs used in the purported independent expenditure are not publicly available. See, Exhibit B, C, D, and E. The Minnesota DFL Party's use of photographs that are unavailable to the general public is strong evidence that the photos were taken and the expenditures were

made with the express or implied consent, authorization, or cooperation of, or in concert with or at the request or suggestion of Oberloh. The Minnesota DFL Party did not maintain the necessary high wall of separation from Oberloh. As a result, the expenditures do not qualify as an independent expenditure and should be found to be in violation of Minnesota Statutes, \$10A.01.

The criteria for independence cannot be maintained even if the photographs are remotely available. The Board has found that it does not require active approval of the expenditure by a candidate or agent to defeat the independence requirement. See, Findings and Order in the matter of the complaint of Arvid Dixen regarding Philip Krinkie. Even implied consent, resulting from action or inaction, can change the character of the expenditure to an approved expenditure. See, Id. In the case of the photographs of Alan Oberloh, if the photographs are publicly available they are in an obscure location, and it would require the Minnesota DFL to get implied consent, authorization, or cooperation of, or action in concert with or at the request or suggestion of Oberloh to locate them. Therefore, even if the photographs are available in some form, approval or acquiescence, defeating independence would still be required from Oberloh for the photographs to be placed in the possession of the Minnesota DFL Party.

The staged photographs are further evidence that the expenditures were made with the express or implied consent, authorization, or cooperation of, or in concert with or at the request or suggestion of Oberloh. The DFL Senate Caucus reported spending \$547.99 on camera equipment. See, Exhibit F. The photographs on the campaign material were clearly produced specifically for the purported independent expenditure. The facts suggest that a DFL Senate Caucus or DFL Party representative met with Oberloh to coordinate efforts on supposed independent expenditures. The necessary high wall of separation simply cannot be maintained when representatives of the DFL Senate Caucus and the DFL Party are literally in the room with Oberloh as the purported independent expenditures are being produced.

#### Conclusion

Photographs of Minnesota Senate District 22 candidate Alan Oberloh are on campaign material identified as independent expenditures approved and paid for by the Minnesota DFL Party. The facts demonstrate a coordination of effort between Oberloh, the DFL Senate Caucus and the Minnesota DFL Party. As a result, the expenditures do not qualify as an independent expenditure and should be found to be in violation of Minnesota Statutes, §10A.01. It is requested that the Board provide appropriate relief and penalties as prescribed by law.

Dated: 10/18/12

Respectfully submitted,

By <u>Pat Shortridge</u>, Chair Republican Party of Minnesota 525 Park Street, Suite 250 Saint Paul, MN 53703 637-222-0022 chair@mngop.com

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An independent-minded **businessman and civic leader** who's earned a reputation for finding solutions that <u>work.</u>

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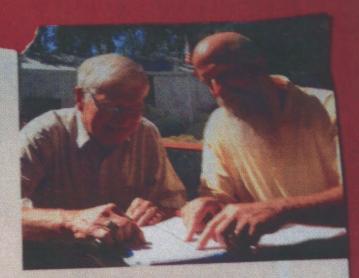
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# <u>Not</u> your typical politician.

That's why he's so good at getting work done.

# Alan Oberloh

An independent-minded businessman and civic leader who's earned a reputation for finding solutions that work.





#### A businessman who understands how to improve the economy and build good-paying jobs.

Al has owned and operated Quality Auto Body in Worthington for 29 years, is a member of the Chamber of Commerce, and serves as ex-officin member of the Worthington Regional Economic Development Commission.

As our Senator, Al Oberloh will work across the aisle to streamline and reduce regulations, help new businesses, invest in modern infrastructure and build development in Southwest Minnesota.

## A recognized leader who stands up for Greater Minnesota

Alan Oberloh has been Mayor of Worthington since 2003, and served on the school board before that. He also served as President of the Coalition of Greater Minnesota Cities working with legislators in both parties for rural Minnesota cities.

Last year, cuts to towns in Greater Minnesota were double what they were in the metro area. **That's wrong**. Al Oberloh will work hard to ensure that taxes and expenditures are fair for Southwest Minnesota.



# Getting the job done right the first time.

Alan knows that partisan "my-way-or-the-history tactics are great at grabbing headlines, but the part don't get the job done. Last year's shut down and special session are a prime example.

Alan Oberioh will be an independent of the new Second States and 22. We need guys like Al to an an an action of the second states and second states are second states and second states and second states are second are second states are second are





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An independent-minded **businessman and civic leader** who's earned a reputation for finding solutions that <u>work.</u>

# <u>Not</u> your typical politician.

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Photo 1

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# Alan Oberloh

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Photo 4



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An independent-minded **businessman and civic leader** who's earned a reputation for finding solutions that <u>work.</u> US Postage PAID win Cities, MN Permit #2647

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# <u>Not</u> your typical politician.

That's why he's so good at getting work done. Photo 1

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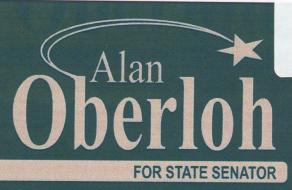
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Alan Oberloh will be an **independent set** nine counties that make up the set of the set 22. We need guys like Al to set of the set Paul and get the job done were the set of the set



#### Alan Oberloh



# Let's move Minnesota forward again

#### Alan Oberloh

This year, Elect ALAN OBERLOB as our State Senator for:

- Lower Property Taxes.Republicans eliminated the Homested Credit, hitting Southwestern Minneota hard with property tax hikes on homes and businesses. Alan Obeclob will be our volce in St. Paul and fight against property tax hikes on working families, farmus, and husinesses.
   Investing in our schools. Our schools are feeling the pain from the more than 52 hillion Republicant borrowed from achools. It's wrong to saddle our kids with debt. Alan Obechoh will work to pay back our schools and put kids first.
   An independent voice for Southwestern Afunesota. Republicant 'entual to compromise led to the longer government thundow in Minnesota's history. Alan Obechoh will be an independent voice for our area, working to end the gridlock in St. Paul and get the work done on time.



- \* Small business owner since 1983 and member of the Worthington Area Chamber of
- Commerce. Mayor of Worthington for the past 10 years, previously served four years on the School Board.

A lifelong resident of Nobles County, Alan and his wife Janice are the proud parents of four adult children and nine grandchildren.

Vote ALAN OBERLOH Tuesday, November 6th

#### One Response to Alan Oberloh

Ted Winter says: September 27, 2012 at 8.34 pm

His He Bill Weber has a flyer out that is paid for by the campaign for mn future out of st paul, it dosent say his party and the page hes on is in blue. looks like the republicans are so proved of their party their no longer admitting affiliation! have a great day p.s. no I'm not from fulda

#### Leave a Reply

Your email address will not be published. Required fields are marked \*

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Paid for by the Committee to Oberloh 1630 South Share Dr Worthington, MN 56187 ve, 329-1092 er oberloh4senate@gmail.com Alan Oberloh

**EXHIBIT B** 

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Search

Moving Southwest Min

#### **Alan Oberloh**

Search

Archives
August 2012

Meta • Log in



#### An Independent voice for Southwest Minnesota

Posted on August 2, 2012 by annierasche

- Small business owner since 1983 and member of the Worthington Area Chamber of Commerce.
- Mayor of Worthington for the past 10 years, previously served four years on the School Board.
- A lifelong resident of Nobles County, Alan and his wife Janice are the proud parents of four adult children and nine grandchildren.

#### Vote ALAN OBERLOH

Tuesday, November 6th

This entry was posted in Uncategorized. Bookmark the permalink.

#### One Response to An Independent voice for Southwest Minnesota

#### Mr WordPress says: August 2, 2012 at 2:48 pm

Hi, this is a comment. To delete a comment, just log in and view the post's comments. There you will have the option to edit or delete them. Reply

#### Leave a Reply

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Alan Oberloh

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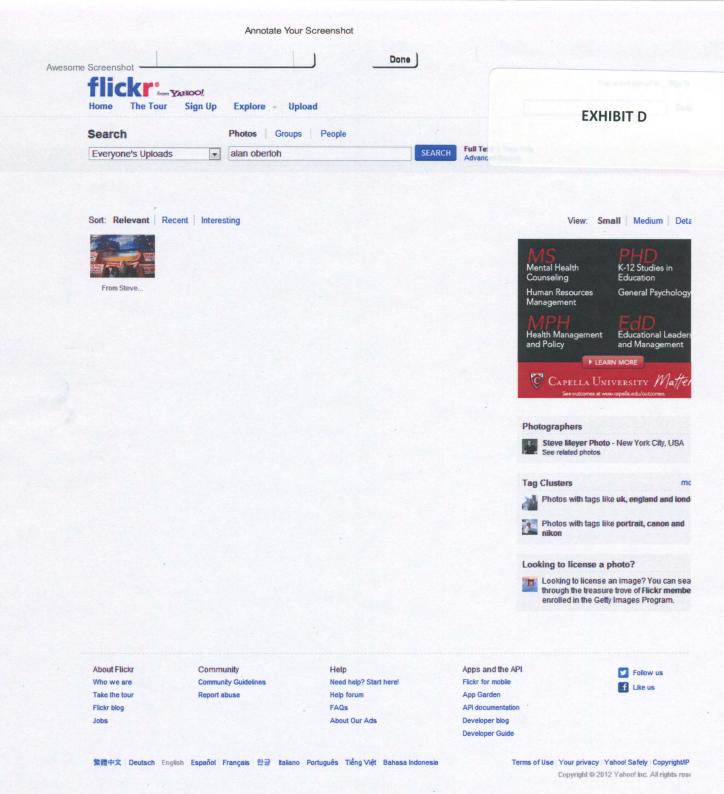
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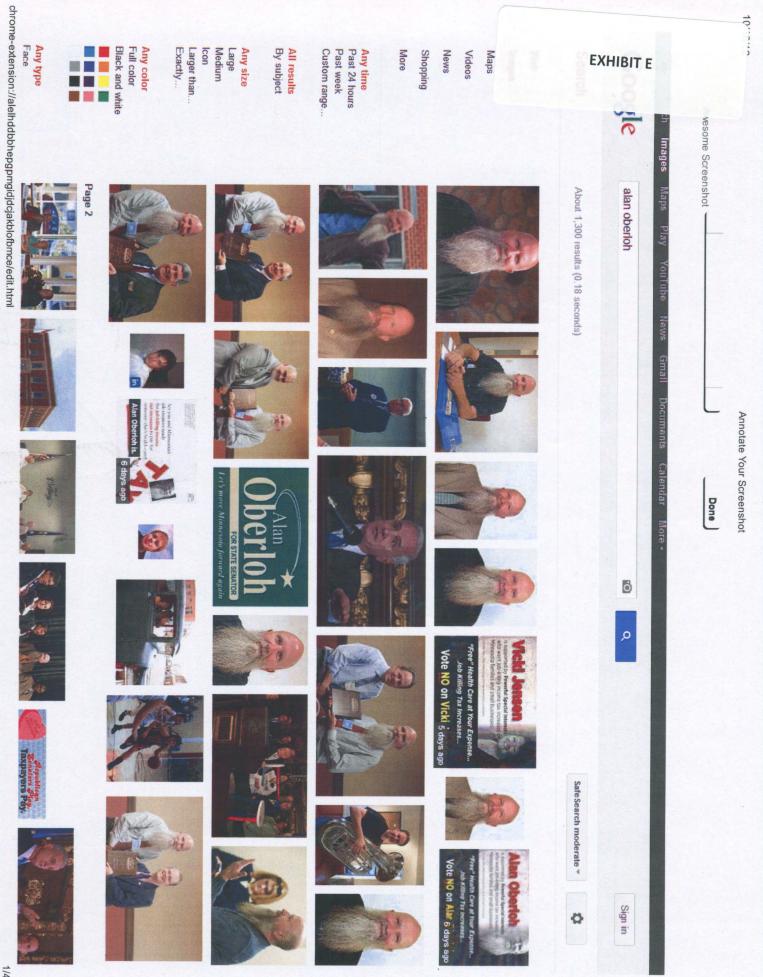
	ILJ 3 mienas worthington, rin Signworks
Alan Oberloh October 11	Activity Recent
go to dglobe.com and check out my "featured area voices"	Alan is now friends with Mary Viessman and 19 other people.
Share	Alan updated his current city to Worthington, Minnesota.
Erin Wolf Nickel Our Son Colby saw the "negative" ad for you and said "Mom I am going to throw this in the trash! Cuz I want Mayor Al to win!" October 11 at 11:09pm	Alan Oberloh October 11
Chris Oberloh Maze Very well put Unde Alan! October 12 at 12:17am Karen Lober Ling Geske Will do! Have read a few positive "	Want a fair piece of reading go to areavoices.com, type in oberloo you will see my thoughts
letters to the editor" for you in our Marshall newspaper, Wondered what negatives were being said . October 12 at 6:37am	Share
Alan Oberioh Facebooktell Colby thanks	🖒 5 people like this.
October 12 at 7:25am via email       1         Image: Constraint of the standard of the stan	Judy Eykyn i did October 12 at 9:55am
any particular party. P.S. Like Colby the negative AI ad went into the garbage also. P.S.P.S. I hope people realize that that is their donation \$\$ being put to use!!! October 12 at 7:36am * Edited * 🖒 1	Alan Oberloh October 9 @

Alan Oberloh Timeline  Now	
Alan Oberloh September 21 @	∎_ 17 people like this.
am taking this awesome birthday cake to Hickory Lodge after even tonight, stop by for a piece ( of cake ).	View all 11 comments  Don Stuntebeck I WANT A SIGN TOO AL IIIIIIIIIII SOUNDS LIKE THE CAKE WAS A HIT IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII
are ) 8 people like this.	September 29 at 8:16pm Lori Ommen You have our votes! September 29 at 8:29pm via mobile
Shawn Groth Happy Birthday Alan! Hope you're having a great one. September 21 at 3:26pm	Sharon Johansen You can put a sign in my yard! September 30 at 11:01am
Stephen Rubis i dont think i will be able to stick around that long. happy bday. September 21 at 3:30pm via mobile	Heather Johnson I would vote for you if I could! Good Luck! September 30 at 9:56pm
Clint Clark Happy Birthday Al! September 21 at 3:39pm via mobile	
Moe Nielsen Don't forget to stop by my place an drop sum off September 21 at 4:48pm via mobile	
Karen Lober Ling Geske Wish I could see that cake& taste it too! September 21 at 7:45pm	

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Page 3

















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Page 4

























































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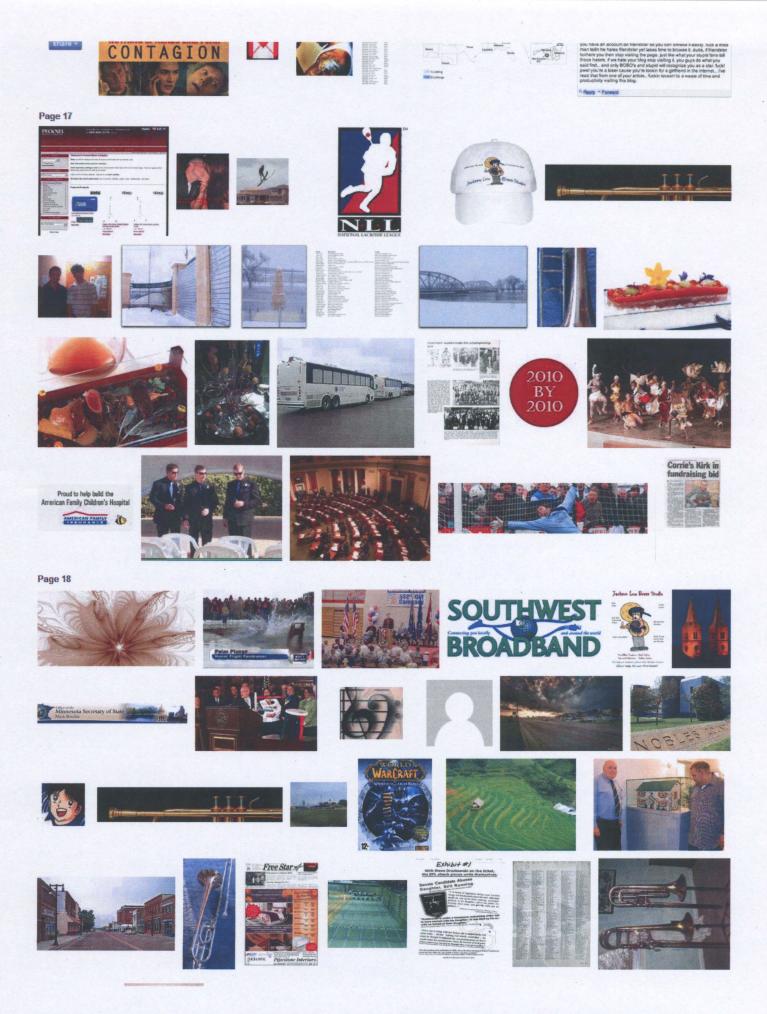
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# Schedule B1 - EXP General Expenditures Minnesota DFL Senate Caucus

**EXHIBIT F** 

	IVIINE	esota DFL Sena	te Caucus			• •
3M Club						
Date	aint Paul, MN 55144 Specific purpose of expenditure		<b>D</b>			
06/29/2012	Downpayment for Fundraiser	· · · · · · · · · · · · · · · · · · ·	Paid	Unpaid	In Kind	Total
			1,000.00	0.00	0.00	1,000.00
Added Value						
Date	Ave N Osseo, MN 55369 Specific purpose of expenditure		Delid	lleen al d	In Kin d	<b>T</b> . 4 . 1
07/05/2012	Golf Tournament Signs		Paid	Unpaid	In Kind	Total
			1,226.15	0.00	0.00	1,226.15
	ernational (Registered Id: 30204)					
Date	V Washington, DC 200365665 Specific purpose of expenditure		Paid	Unpaid	In Kind	Total
05/12/2012	In kind: NCEC Senate Targeting Data		0.00	0.00		
	•••		0.00	0.00	2,020.00	2,020.00
Air Charters I						
Date	Dr Chanhassen, MN 55317 Specific purpose of expenditure		Paid	Unpaid	In Kind	Total
05/15/2012	Air Fare		2,379.38	0.00	0.00	
			2,379.30	0.00	0.00	2,379.38
	Roberson Featherstone					
3932 38th Av Date	e. S Minneapolis, MN 55406 Specific purpose of expenditure		Paid	Unpaid	In Kind	Total
07/01/2012						
5770172012	Volunteer Food & Beverage for training		733.00	0.00	0.00	733.00
Applebee's						
590 W 79th S Date	St Chanhassen, MN 55317 Specific purpose of expenditure		Paid	Unpaid	In Kind	Total
05/29/2012			58.21	0.00	0.00	
55/29/2012	Candidate Meeting		50.21	0.00	0.00	58.21
Axel's Bonfire						
850 Grand Av Date	ve Saint Paul, MN 55105 Specific purpose of expenditure		Paid	Unpaid	In Kind	Total
06/22/2012	Fundraising Lunch		174.34	0.00	0.00	174.34
10/22/2012			174.34	0.00	0.00	174.04
B & H Video						
420 9th Ave I Date	New York, NY 10001 Specific purpose of expenditure		Paid	Unpaid	In Kind	Total
06/15/2012	Camera Equipment		547.99	0.00	0.00	547.99
50/15/2012	Camera Equipment		547.55	0.00	0.00	547.55
Backstreet Pi						
195 S Robert Date	Saint Paul, MN 55107 Specific purpose of expenditure		Paid	Unpaid	In Kind	Total
		· · · ·		0.00	0.00	54.59
01/18/2012 05/22/2012	Volunteer Food Volunteer Food		54.59 63.68	0.00	0.00	63.68
05/23/2012	Volunteer Food		35.44	0.00	0.00	35.44
05/24/2012	Volunteer Food		38.58	0.00	0.00	38.58
06/04/2012	Volunteer Food		48.58	0.00	0.00	48.58
06/12/2012	Volunteer Food		71.90	0.00	0.00	71.90
06/19/2012	Volunteer Food		51.89	0.00	0.00	51.89
		Total	364.66	0.00	0.00	364.66
Bakers Squa	re					
	rt St Saint Paul, MN 55118					
Date	Specific purpose of expenditure		Paid	Unpaid	In Kind	Total
)2/08/2012	Fundraising Meeting		25.28	0.00	0.00	25.28
	(					
Thomas Bak	N					
2361 Retreat	Lodge Rd Cook, MN 557238729					
2361 Retreat Employme	Lodge Rd Cook, MN 557238729 ent: State of MN		Detal	line - : -!		Tatel
	Lodge Rd Cook, MN 557238729		Paid 124.00	Unpaid 0.00	In Kind 0.00	<b>Total</b> 124.00

NGP Campaign Office 12

XSD Version: 2.0

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